Federal Railroad Administration, DOT

subpart and any more stringent requirements imposed by the railroad and the related distinction between the two sets of potential consequences.

- (b) Implementation schedule. Each employee performing duties subject to the requirements in this subpart shall be initially instructed prior to March 28, 2011
- (1) Beginning March 28, 2011, no employee shall perform work requiring compliance with the operating rules implementing the requirements of this subpart unless the employee has been instructed on requirements of this subpart within the previous three years.
- (2) The records of successful completion of instruction and examination required by this section shall document the instruction of each employee under this subpart.
- (c) Records. Written records documenting successful completion of instruction and examination of each employee and of his or her supervisors shall be made and shall be retained at the railroad's system headquarters and at the division headquarters for each division where the employee is assigned for three calendar years after the end of the calendar year to which they relate and made available to representatives of FRA for inspection and copying during normal business hours. Each railroad to which this part applies is authorized to retain a program, or any records maintained to prove compliance with such a program, by electronic recordkeeping in accordance with §§217.9(g) and 217.11(c) of this chapter.
- (d) Approval process. Upon review of the program of instruction and examination required by this section, the Associate Administrator for Railroad Safety/Chief Safety Officer may, for cause stated, disapprove the program. Notification of such disapproval shall be made in writing and specify the basis for the disapproval.
- (1) If the Associate Administrator for Railroad Safety/Chief Safety Officer disapproves the program, the railroad has 35 days from the date of the written notification of such disapproval to—
- (i) Amend its program and submit it to the Associate Administrator for

Railroad Safety/Chief Safety Officer for approval; or

- (ii) Provide a written response in support of the program to the Associate Administrator for Railroad Safety/Chief Safety Officer, who informs the railroad of FRA's final decision in writing.
- (2) A failure to submit the program with the necessary revisions to the Associate Administrator for Railroad Safety/Chief Safety Officer in accordance with this paragraph is considered a failure to implement a program under this subpart.

§ 220.315 Operational tests and inspections; further restrictions on use of electronic devices.

- (a) The railroad's program of operational tests and inspections under part 217 of this chapter shall be revised as necessary to include this subpart and shall specifically include a minimum number of operational tests and inspections, subject to adjustment as appropriate.
- (b) When conducting a test or inspection under part 217 of this chapter, a railroad officer, manager, or supervisor is prohibited from calling the personal electronic device or the railroad-supplied electronic device used by a railroad operating employee while the railroad officer, manager, or supervisor knows or should have known that—
- (1) The train to which the employee is assigned is moving;
 - (2) The employee is—
- (i) On the ground:
- (ii) Riding rolling equipment during switching operations; or
- (iii) Assisting in preparation of the train to which the employee is assigned for movement.

APPENDIX A TO PART 220— RECOMMENDED PHONETIC ALPHABET

- A—ALFA
- B—BRAVO C—CHARLIE
- D—DELTA
- E—ECHO
- F—FOXTROT
- F—FOXTRO
- H—HOTEL
- I—INDIA
- J—JULIET
- K—KILO L—LIMA

Pt. 220, App. B

M—MIKE N—NOVEMBER O—OSCAR P—PAPA Q-QUEBEC

R—ROMEO S-SIERRA

T-TANGO U-UNIFORM

V—VICTOR W-WHISKEY

X—XRAY Y—YANKEE

Z—ZULU

The letter "ZULU" should be written as "Z" to distinguish it from the numeral "2".

EDITORIAL NOTE: At 63 FR 11621, Mar. 10, 1998, an amendment was published amending footnote 1 to appendix A of part 220. The amendment could not be incorporated because footnote 1 to appendix A of part 220 does not exist in 49 CFR parts 200 to 399, revised as of Oct. 1, 1997.

APPENDIX B TO PART 220—REC-OMMENDED PRONUNCIATION OF NU-MERALS

To distinguish numbers from similar sounding words, the word "figures" should be used preceding such numbers. Numbers should be pronounced as follows:

49 CFR Ch. II (10-1-11 Edition)

Number	Spoken
0	ZERO. WUN. TOO. THUH-REE FO-WER. FI-YIV. SIX. SEVEN. ATE. NINER.

(The figure ZERO should be written as "0" to distinguish it from the letter "O". The figure ONE should be underlined to distinguish it from the letter "I". When railroad rules require that numbers be spelled, these principles do not apply.)

The following examples illustrate the recommended pronunciation of numerals:

Number	Spoken	
44	FO-WER FO-WER. FI-YIV HUNDRED. WUN THOUSAND. WUN SIX HUNDRED. WUN FO-WER ATE NINER. TOO ZERO DECIMAL THUH-REE.	

APPENDIX C TO PART 220—SCHEDULE OF CIVIL PENALTIES 1

Section ²		Willful violation
220.9 Requirements for trains	\$5,000	\$7,500
220.11 Requirements for roadway workers	5,000	7,500
220.21 Railroad Operating rules; radio communications	·	,
(a)	5,000	7,500
(b)	2,500	5,000
220.23 Publication of radio information	2,500	5,000
220.25 Instruction of employees	5,000	7,500
220.27 Identification	1,000	2,000
220.29 Statement of letters and numbers	1,000	2,000
220.31 Initiating a transmission	1,000	2,000
220.33 Receiving a transmission	1,000	2,000
220.35 Ending a transmission	1,000	2,000
220.37 Voice test	5,000	7,500
220.39 Continuous monitoring	2,500	5,000
220.41 [Reserved]		
220.43 Communication consistent with the rules	2,500	5,000
220.45 Complete communications	2,500	5,000
220.47 Emergencies	2,500	5,000
220.49 Switching, backing or pushing	5,000	7,500
220.51 Signal indications	5,000	7,500
220.61 Radio transmission of mandatory directives	5,000	7,500
Subpart C—Electronic Devices		
220.302 Operating rules	9,500	17,000
220.303 General; interfering with safety-related duties	9,500	17,000
220.305 Personal electronic device turned on while prohibited	5,500	10,000
(a)–(c) Personal device in use while prohibited	9,500	17,000
220.307 Railroad-supplied device turned on while prohibited	5,500	10,000
(a) Use not authorized by railroad in writing	9,500	17,000
(b)–(d) Railroad-supplied devices in use while prohibited	9,500	17,000
220.311 Railroad operating employees in deadhead status:		
(a)	9,500	17,000
(b) Devices turned on while prohibited; or	5,500	10,000